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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/777,350 | 02/05/2001 | Robert A. Veschi | PA1479US |

22830
CARR & FERRELL LLP
2225 EAST BAYSHORE ROAD
SUITE 200
PALO ALTO, CA 94303

CONFIRMATION NO. 5175

FORMALITIES LETTER



OC000000005913999

Date Mailed: 03/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

05/02/2001 AGD/TOM 00000096 09777350 65.00 DP
01 FC:205

Mosier Kane

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#3
\$SECTORPlease type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0031
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| | | | |
|--|-----------------------------|-------------------------------|----------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/777,350 | |
| | Filing Date | February 5, 2001 | |
| | First Named Inventor | Robert A. Veschi | |
| | Group Art Unit | 2643 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 17 | Attorney Docket Number | PA1479US |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard |
| Remarks Total page number does not include postcard and check(s), if applicable. | | |

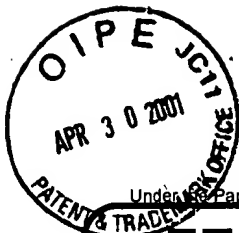
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|-------------------------------------|
| Firm or Individual name | Carr & Ferrell, LLP Cust. No. 22830 |
| Signature | <i>J. Eppa Hite</i> |
| Date | 4/25/01 |

CERTIFICATE OF MAILING

| | |
|--|---------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/25/2001 | |
| Typed or printed name | J. Eppa Hite |
| Signature | <i>J. Eppa Hite</i> |
| Date | 4/25/2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$105.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/777,350 |
| Filing Date | February 5, 2001 |
| First Named Inventor | Robert A. Veschi |
| Examiner Name | |
| Group Art Unit | 2643 |
| Attorney Docket No. | PA1479US |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 06-0600
Deposit Account Name: Carr & Ferrell, LLP

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 710 | 201 355 | Utility filing fee | |
| 106 | 320 | 206 160 | Design filing fee | |
| 107 | 490 | 207 245 | Plant filing fee | |
| 108 | 710 | 208 355 | Reissue filing fee | |
| 114 | 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

Total Claims: 20 - 3 = 17
Independent Claims: 3 - 3 = 0
Multiple Dependent Claims: 0 - 0 = 0

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 103 | 18 | 203 9 | Claims in excess of 20 | |
| 102 | 80 | 202 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$0)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130 205 | 65 | Surcharge - late filing fee or oath | 65 |
| 127 | 50 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 139 | 130 | Non-English specification | |
| 147 | 2,520 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 215 | 55 | Extension for reply within first month | |
| 116 | 390 216 | 195 | Extension for reply within second month | |
| 117 | 890 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 219 | 155 | Notice of Appeal | |
| 120 | 310 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 221 | 135 | Request for oral hearing | |
| 138 | 1,510 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 243 | 220 | Design issue fee | |
| 144 | 600 244 | 300 | Plant issue fee | |
| 122 | 130 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 710 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$105)

SUBMITTED BY

| | | | | | |
|-------------------|--------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | J. Eppa Hite | Registration No. (Attorney/Agent) | 30,266 | Telephone | (650) 812-3428 |
| Signature | | | | Date | April 25, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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